

Open Report on behalf of Pete Moore, Executive Director Resources & Community Safety

Report to:	Audit Committee
Date:	14 November 2011
Subject:	Draft Internal Audit Plan 2011/12

Summary:

This report presents the planned internal audit work from October 2011 to March 2012.

Recommendation(s):

The Committee approve the draft internal audit plan, subject to any comments it wishes the Head of Audit to consider.

Background

- 1.1 Our primary role is to provide assurance on the control environment for the council's objectives and we focus our work on its strategic risks, critical systems and key priorities set out in the Councils Corporate plan.
- 1.2 Our Internal Audit strategy has therefore been developed to demonstrate how assurance statements can be given on:
 - § Achievement of the corporate objectives
 - § Management of material risks faced by the council e.g. risk registers
 - § Contribution to and support of the Councils Section 151 Officers objective of ensuring sound resource management of the council's affairs
 - § Key projects and partnerships of the council
- 1.3 As part of approving the plan the Committee is asked to consider whether the focus on these areas is appropriate. .
- 1.4 The proposed audit plan for October 2011 to March 2012 is shown in Appendix A.

- 1.5 We will provide the Committee with quarterly updates on delivery of the plan and outcomes of our work.
- 1.6 The plan includes assurance mapping to obtain an insight into how the Council will gain assurance that strategic and critical risks and systems are being well managed. The Councils strategic risk register also requires updating – this is one of the key inputs to how we determine our work.

Conclusion

Our plan as been developed to enable us to respond to the current climate of change and focus on the critical risks of the Council whilst it is in transition.

Consultation

a) Policy Proofing Actions Required

n/a

Appendices

These are listed below and attached at the back of the report	
Appendix A	Draft Internal Audit Plan 2011/12 October to March

Background Papers

No background papers within Section 100D of the Local Government Act 1972 were used in the preparation of this report.

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